

# Properness for iterations with uncountable supports

based on joint works of  
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presented by AR

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Part I: Background

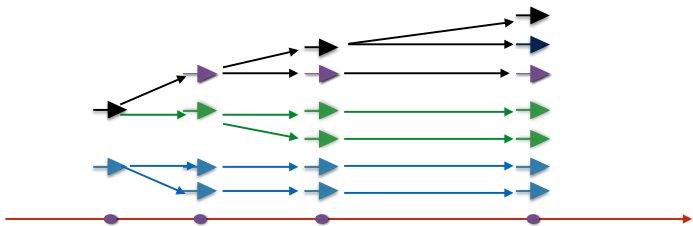
**Part II: Bounding Properties**

Part III: The Last Forcing Standing - with and without diamonds

# Trees of conditions

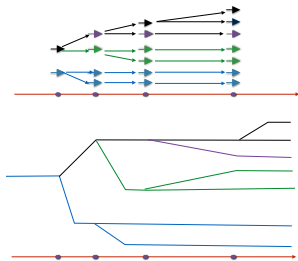
Let  $\gamma$  be an ordinal,  $\emptyset \neq w \subseteq \gamma$ . A *standard*  $(w, 1)^\gamma$ -tree is a pair  $\mathcal{T} = (T, \text{rk})$  such that

- $\text{rk} : T \rightarrow w \cup \{\gamma\}$ ,
- if  $t \in T$  and  $\text{rk}(t) = \varepsilon$ , then  $t$  is a sequence  $\langle (t)_\zeta : \zeta \in w \cap \varepsilon \rangle$ ,
- $(T, \triangleleft)$  is a tree with root  $\langle \rangle$  and such that every chain in  $T$  has a  $\triangleleft$ -upper bound in  $T$ ,
- if  $t \in T$ , then there is  $t' \in T$  such that  $t \trianglelefteq t'$  and  $\text{rk}(t') = \gamma$ .



Let  $\bar{Q} = \langle \mathbb{P}_i, \mathbb{Q}_i : i < \gamma \rangle$  be an iteration.

◇ A standard tree of conditions in  $\bar{Q}$  is a system  $\bar{p} = \langle p_t : t \in T \rangle$  such that



- $(T, \text{rk})$  is a standard  $(w, 1)^\gamma$ -tree for some  $w \subseteq \gamma$ ,
- $p_t \in \mathbb{P}_{\text{rk}(t)}$  for  $t \in T$ , and
- if  $s, t \in T$ ,  $s \triangleleft t$ , then  $p_s = p_t \upharpoonright \text{rk}(s)$ .

◇ Let  $\bar{p}^0, \bar{p}^1$  be standard trees of conditions in  $\bar{Q}$ ,  $\bar{p}^i = \langle p_t^i : t \in T \rangle$ . We write  $\bar{p}^0 \leq \bar{p}^1$  whenever for each  $t \in T$  we have  $p_t^0 \leq p_t^1$ .

## Theorem 1

Assume that  $\bar{Q} = \langle \mathbb{P}_i, \bar{Q}_i : i < \gamma \rangle$  is a  $\lambda$ -support iteration such that for all  $i < \gamma$  we have

$\Vdash_{\mathbb{P}_i}$  “ $\bar{Q}_i$  is strategically  $(< \lambda)$ -complete”.

Suppose that  $\bar{p} = \langle p_t : t \in T \rangle$  is a standard tree of conditions in  $\bar{Q}$ ,  $|T| < \lambda$ , and  $\mathcal{I} \subseteq \mathbb{P}_\gamma$  is open dense. Then there is a standard tree of conditions  $\bar{q} = \langle q_t : t \in T \rangle$  such that  $\bar{p} \leq \bar{q}$  and  $(\forall t \in T)(\text{rk}(t) = \gamma \Rightarrow q_t \in \mathcal{I})$ .

# A very simple yet informative property in CS

We will the main ideas of our bounding properties by looking at their  $\omega$ -relative. I do not know if the *strong bounding* introduced here is of any use, but it explains nicely what is going on in the  $\lambda$ -case.

Let  $\mathbb{P}$  be a forcing notion and  $p \in \mathbb{P}$ .

We define a game  $\mathfrak{D}^{\text{sb}}(p, \mathbb{P})$  between two players, Generic and Antigeneric, as follows. A play of  $\mathfrak{D}^{\text{sb}}(p, \mathbb{P})$  lasts  $\omega$  steps and during the play a sequence

$$\bar{x} = \langle m_k, \langle p_\ell^k, q_\ell^k : \ell < m_k \rangle : k < \omega \rangle$$

is constructed.

Suppose that the players have arrived at a stage  $k < \omega$  of the game. Now,

- ( $\aleph$ )<sub>k</sub> first Generic chooses a positive integer  $m_k$  and a sequence  $\langle p_\ell^k : \ell < m_k \rangle$  of conditions from  $\mathbb{P}$ .
- ( $\beth$ )<sub>k</sub> Then Antigeneric answers by picking a system  $\langle q_\ell^k : \ell < m_k \rangle$  of conditions from  $\mathbb{P}$  such that  $p_\ell^k \leq q_\ell^k$  (for all  $\ell < m_k$ ).

At the end, Generic wins the play  $\bar{x}$  iff

- ( $\circledast$ ) there is a condition  $p^*$  stronger than  $p$  such that

$$p^* \Vdash_{\mathbb{P}} (\forall k < \omega)(\exists \ell < m_k)(q_\ell^k \in \mathcal{G}_{\mathbb{P}}).$$

We say that  $\mathbb{P}$  is *strongly bounding* if for any  $p \in \mathbb{P}$  Generic has a winning strategy in  $\mathcal{D}^{\text{sb}}(p, \mathbb{P})$ .

## Observation 2

- (1) *The Sacks forcing notion is strongly bounding while the random real forcing is not.*
- (2) *Every strongly bounding forcing is proper and  $\omega_1$ -bounding.*

## Theorem 3

*Assume that  $\bar{\mathbb{Q}} = \langle \mathbb{P}_\xi, \mathbb{Q}_\xi : \xi < \gamma \rangle$  is a countable support iteration such that for every  $\xi < \gamma$ ,*

$$\Vdash_{\mathbb{P}_\xi} \text{“} \mathbb{Q}_\xi \text{ is strongly bounding ”.}$$

*Then  $\mathbb{P}_\gamma = \text{lim}(\bar{\mathbb{Q}})$  is proper  $\omega_1$ -bounding and more (see the proof and later).*

# Proof

We will present the key construction of the proof in the form of a play of a game (without exactly describing the rules of the game). This way we will set the ground for explaining what is the meaning of the “and more”. The players are called **G** and **A**. Let  $\langle D_k : k < \omega \rangle$  be a list of open dense subsets of  $\mathbb{P}_\gamma$  (e.g., the list of all such sets from a model  $N$ ) and let  $p \in \mathbb{P}_\gamma$ .

In the game/construction for  $k < \omega$ ,

first **G** picks:  $\mathcal{T}_k, \bar{p}^k, \langle \bar{m}_{k,\xi}, \bar{p}_{k,\xi}, \bar{q}_{k,\xi} : \xi \in w_k \rangle$

then **A** answers with  $\bar{q}^k$

and next **G** decides  $r_{k+1}, w_{k+1}$  and  $\bar{st}_\xi$  for  $\xi \in w_{k+1} \setminus w_k$



These objects are chosen so that for each  $k < \omega$ :

*Choice of  $\mathbf{G}$ :*

(\*)<sub>1</sub>  $r_k \in \mathbb{P}_\gamma$ , we stipulate  $r_{-1} = p$  and then  $r_{-1} \leq r_k \leq r_{k+1}$ ,  $r_0(0) = p(0)$  and  $r_k(\xi) = r_{k+1}(\xi)$  for  $\xi \in w_k$ .

(\*)<sub>2</sub>  $w_k \subseteq \gamma$ ,  $|w_k| = |k + 1|$ ,  $\bigcup_{k < \omega} \text{Dom}(r_k) = \bigcup_{k < \omega} w_k$ ,  $w_0 = \{0\}$ ,

$w_k \subseteq w_{k+1}$

(\*)<sub>3</sub>  $\mathbf{st}_0$  is a winning strategy of Generic in  $\mathcal{D}^{\text{sbg}}(r_0(0), \mathbb{Q}_0)$  and if  $\xi \in w_{k+1} \setminus w_k$ , then  $\mathbf{st}_\xi$  is a  $\mathbb{P}_\xi$ -name for a winning strategy of Generic in  $\mathcal{D}^{\text{sbg}}(r_{k+1}(\xi), \mathbb{Q}_\xi)$ . We assume that these strategies instruct Generic to play conditions compatible with  $r_{k+1}(\xi)$ .

(\*)<sub>4</sub>  $\mathcal{T}_k = (T_k, \text{rk}_k)$  is a finite standard  $(w_k, 1)^\gamma$ -tree, and  $\bar{p}^k = \langle p_t^k : t \in T_k \rangle$  is a standard trees of conditions in  $\bar{\mathbb{Q}}$ .

(\*)<sub>5</sub> If  $\xi \in w_k$ , then  $\tilde{m}_{k,\xi}$  is a  $\mathbb{P}_\xi$ -name for a positive ordinal,  $\tilde{p}_{k,\xi}, \tilde{q}_{k,\xi}$  are  $\mathbb{P}_\xi$ -names for  $\tilde{m}_{k,\xi}$ -sequences of conditions in  $\mathbb{Q}_\xi$ .

(\*)<sub>6</sub> If  $\xi \in w_{\ell+1} \setminus w_\ell$  and  $\ell < \omega$ , or  $\xi = 0$  and  $\ell = -1$ , then

$\Vdash_{\mathbb{P}_\xi}$  “  $\langle \tilde{m}_{n,\xi}, \tilde{p}_{n,\xi}, \tilde{q}_{n,\xi} : \ell < n < \omega \rangle$  is a *tail of a play* of  $\exists^{\text{sbg}}(r_\ell(\xi), \mathbb{Q}_\xi)$  in which Generic uses  $\mathbf{st}_\xi$  ”.

By “tail of a play” I mean that it can be completed to a full play in which Generic uses her strategy  $\mathbf{st}_\xi$  and in the initial stages Antigeneric just repeats her entries.



(\*)<sub>7</sub> For  $t \in T_k$  we have  $r_{k-1} \upharpoonright \text{rk}_k(t) \leq p_t^k$ .

(\*)<sub>8</sub> If  $t \in T_k$ ,  $\text{rk}_k(t) = \xi < \gamma$ , then the condition  $p_t^k$  decides the value of  $\tilde{m}_{k,\xi}$ , say  $p_t^k \Vdash \tilde{m}_{k,\xi} = m_{k,\xi}^t$ , and

$\{(s)_\xi : t \triangleleft s \in T_k\} = m_{k,\xi}^t$  and  $p_t^k \Vdash_{\mathbb{P}_\xi} \bar{p}_{k,\xi}(i) \leq p_{t \setminus \langle i \rangle}^k(\xi)$

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$p_{t_0 \upharpoonright \xi}^k \Vdash_{\mathbb{P}_\xi}$  “ the conditions  $p_{t_0}^k(\xi), p_{t_1}^k(\xi)$  are incompatible ”.



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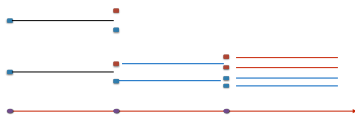
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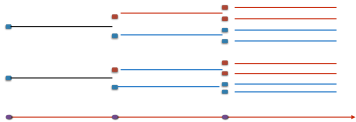
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**Choice of A:**

(\*)<sub>10</sub>  $\bar{q}^k = \langle q_t^k : t \in T_k \rangle$  is a standard tree of conditions in  $\bar{\mathbb{Q}}$ ,  
 $\bar{p}^k \leq \bar{q}^k$ , and  $q_t^k \in D_k$  for all  $t \in T_k$  of rank  $\gamma$ .

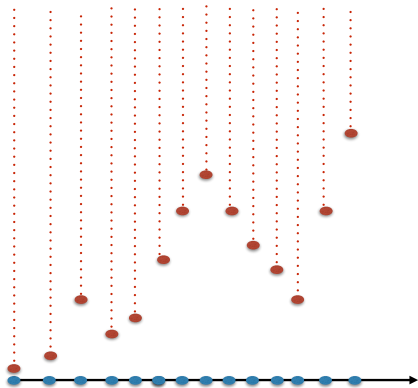
(\*)<sub>11</sub>  $q_t^k \Vdash_{\mathbb{P}_\xi} \text{“ } \bar{q}_{k,\xi}(i) = q_{t \smallfrown \langle i \rangle}^k(\xi) \text{ for } i < m_{k,\xi}^t \text{”}$  for all  $t \in T_k$ .

**Choice of G:**

(\*)<sub>12</sub>  $\text{Dom}(r_k) = \bigcup_{t \in T_k} \text{Dom}(q_t^k) \cup \text{Dom}(p)$  and if  $t \in T_k$ ,

$\xi \in \text{Dom}(r_k) \cap \text{rk}_k(t) \setminus w_k$ , and  $q_t^k \upharpoonright \xi \leq q \in \mathbb{P}_\xi$ ,  $r_k \upharpoonright \xi \leq q$ , then

$q \Vdash_{\mathbb{P}_\xi}$  “ if the set  $\{r_\ell(\xi) : \ell < k\} \cup \{q_t^k(\xi), p(\xi)\}$   
has an upper bound in  $\bar{\mathbb{Q}}_\xi$ ,  
then  $r_k(\xi)$  is such an upper bound ”.



After the game/construction is over, define a condition  $r \in \mathbb{P}_\gamma$  as follows.

Let  $\text{Dom}(r) = \bigcup_{k < \omega} \text{Dom}(r_k)$

and for  $\xi \in \text{Dom}(r)$  let  $r(\xi)$  be a  $\mathbb{P}_\xi$ -name for a condition in  $\mathbb{Q}_\xi$  such that if  $\xi \in w_{l+1} \setminus w_l$ ,  $\tilde{l} < \omega$  (or  $\xi = 0$  and  $l = -1$ ), then

$$\Vdash_{\mathbb{P}_\xi} \text{“ } r(\xi) \geq r_{\tilde{l}}(\xi) \text{ and } r(\xi) \Vdash_{\mathbb{Q}_\xi} (\forall k \in (l, \omega)) (\exists i < \tilde{m}_{k,\xi}) (\bar{q}_{k,\xi}(i) \in \tilde{G}_{\mathbb{Q}_\xi}) \text{”}.$$

Then  $r \geq p$  and for each  $k < \omega$  the family  $\{q_t^k : t \in T_k \text{ \& } \text{rk}_k(t) = \gamma\}$  is pre-dense above  $r$ .

# What have we actually proved?

- We cannot say that  $\mathbb{P}_\gamma$  is strongly bounding as the game changes. We play with *trees of conditions*! (I.e., Antigeneric has to answer with such).
- We may argue for a game in which “maximal branches of the tree  $T_k$ ” and corresponding conditions  $p_t^k$  are played successively forcing Antigeneric to build something close to a tree of conditions.
- If we want a *preservation theorem* then we need to modify the game mentioned above even further allowing several “runs” of the successive choices above.

# The real stuff: the $A$ s

In this part we assume the following:

- (a)  $\lambda$  is a strongly inaccessible cardinal,
- (b)  $\bar{\mu} = \langle \mu_\alpha : \alpha < \lambda \rangle$ , each  $\mu_\alpha$  is a regular cardinal satisfying (for  $\alpha < \lambda$ )

$$\aleph_0 \leq \mu_\alpha \leq \lambda \quad \text{and} \quad (\forall f \in {}^\alpha \mu_\alpha) (|\prod_{\xi < \alpha} f(\xi)| < \mu_\alpha),$$

- (c)  $\varphi : \lambda \rightarrow \lambda$  is a strictly increasing function such that  $\aleph_0 + \alpha < \varphi(\alpha)$  is regular,
- (d)  $\bar{F} = \langle F_t : t \in \bigcup_{\alpha < \lambda} \prod_{\beta < \alpha} \varphi(\beta) \rangle$  where  $F_t$  is a  $< \varphi(\alpha)$ -complete filter on  $\varphi(\alpha)$  whenever  $t \in \prod_{\beta < \alpha} \varphi(\beta)$ ,  $\alpha < \lambda$ .
- (e)  $\bar{E} = \langle E_t : t \in {}^{<\lambda} \lambda \rangle$  is a system of  $(< \lambda)$ -complete filters on  $\lambda$ .
- (f)  $E$  is a normal filter on  $\lambda$ .



# Game A

Let  $p \in \mathbb{Q}$ . We define a game  $\mathfrak{D}_{\bar{\mu}}^{\text{rcA}}(p, \mathbb{Q})$  between two players, Generic and Antigeneric, as follows. A play of  $\mathfrak{D}_{\bar{\mu}}^{\text{rcA}}(p, \mathbb{Q})$  lasts  $\lambda$  steps and during a play a sequence

$$\langle I_\alpha, \langle p_t^\alpha, q_t^\alpha : t \in I_\alpha \rangle : \alpha < \lambda \rangle$$

is constructed. At stage  $\alpha < \lambda$  of the game:

- $(\aleph)_\alpha$  first Generic chooses a non-empty set  $I_\alpha$  of cardinality  $< \mu_\alpha$  and a system  $\langle p_t^\alpha : t \in I_\alpha \rangle$  of conditions from  $\mathbb{Q}$ ,
- $(\beth)_\alpha$  then Antigeneric answers by picking a system  $\langle q_t^\alpha : t \in I_\alpha \rangle$  of conditions from  $\mathbb{Q}$  such that  $(\forall t \in I_\alpha)(p_t^\alpha \leq q_t^\alpha)$ .

At the end, Generic wins the play

$$\langle I_\alpha, \langle p_t^\alpha, q_t^\alpha : t \in I_\alpha \rangle : \alpha < \lambda \rangle$$

of  $\mathfrak{D}_{\bar{\mu}}^{\text{rcA}}(p, \mathbb{Q})$  if and only if

- $(\ast)_A^{\text{rc}}$  there is a condition  $p^* \in \mathbb{Q}$  stronger than  $p$  and such that

$$p^* \Vdash_{\mathbb{Q}} (\forall \alpha < \lambda) (\exists t \in I_\alpha) (q_t^\alpha \in \mathcal{G}_{\mathbb{Q}}).$$

# Game a

Let  $p \in \mathbb{Q}$ . A game  $\mathfrak{D}_{\bar{\mu}}^{\text{rca}}(p, \mathbb{Q})$  between Generic and Antigeneric is defined as follows. A play of  $\mathfrak{D}_{\bar{\mu}}^{\text{rca}}(p, \mathbb{Q})$  lasts  $\lambda$  steps and during a play a sequence

$$\langle \zeta_\alpha, \langle p_\xi^\alpha, q_\xi^\alpha : \xi < \zeta_\alpha \rangle : \alpha < \lambda \rangle$$

is constructed. At stage  $\alpha < \lambda$  of the game:

- ★ Generic chooses a non-zero ordinal  $\zeta_\alpha < \mu_\alpha$  and then
- ★ the two players play a subgame of length  $\zeta_\alpha$  alternately choosing successive terms of a sequence  $\langle p_\xi^\alpha, q_\xi^\alpha : \xi < \zeta_\alpha \rangle$ . At a stage  $\xi < \zeta_\alpha$  of the subgame, first Generic picks a condition  $p_\xi^\alpha \in \mathbb{Q}$  and then Antigeneric answers with a condition  $q_\xi^\alpha$  stronger than  $p_\xi^\alpha$ .

At the end, Generic wins the play  $\langle \zeta_\alpha, \langle p_\xi^\alpha, q_\xi^\alpha : \xi < \zeta_\alpha \rangle : \alpha < \lambda \rangle$  of  $\mathfrak{D}_{\bar{\mu}}^{\text{rca}}(p, \mathbb{Q})$  if and only if

$(*)_{\mathfrak{a}}^{\text{rc}}$  there is a condition  $p^* \in \mathbb{Q}$  stronger than  $p$  and such that

$$p^* \Vdash_{\mathbb{Q}} (\forall \alpha < \lambda) (\exists \xi < \zeta_\alpha) (q_\xi^\alpha \in \mathfrak{G}_{\mathbb{Q}}).$$

## Definition 4

We say that a forcing notion  $\mathbb{Q}$  is *reasonably  $A$ -bounding over  $\bar{\mu}$*  if

- (a)  $\mathbb{Q}$  is strategically  $(<\lambda)$ -complete, and
- (b) for any  $p \in \mathbb{Q}$ , Generic has a winning strategy in the game  $\mathfrak{D}_{\bar{\mu}}^{\text{rcA}}(p, \mathbb{Q})$ .

In an analogous manner we define when the forcing notion  $\mathbb{Q}$  is *reasonably  $\mathbf{a}$ -bounding over  $\bar{\mu}$* .

If  $\mu_\alpha = \lambda$  for each  $\alpha < \lambda$ , then we may omit  $\bar{\mu}$  and say *reasonably  $A$ -bounding etc.*

Theorem 5 (Cf [RoSh 860, Thm 3.2], [RoSh 890, Thm 3.13])

Assume that  $\lambda, \bar{\mu}$  are as declared before and  $\bar{\mathbb{Q}} = \langle \mathbb{P}_\xi, \mathbb{Q}_\xi : \xi < \gamma \rangle$  is a  $\lambda$ -support iteration such that for every  $\xi < \gamma$ ,

$\Vdash_{\mathbb{P}_\xi} \text{“} \mathbb{Q}_\xi \text{ is reasonably } \mathbf{A}\text{-bounding over } \bar{\mu} \text{”}$ .

Then  $\mathbb{P}_\gamma = \lim(\bar{\mathbb{Q}})$  is reasonably  $\mathbf{a}$ -bounding over  $\bar{\mu}$  (and actually more).

Observation 6

If  $\mathbb{Q}$  is reasonably  $\mathbf{a}$ -bounding, then it is  $\lambda$ -proper and  ${}^\lambda\lambda$ -bounding.

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If  $\mathbb{Q}$  is reasonably  $\mathbf{a}$ -bounding, then it is  $\lambda$ -proper and  ${}^\lambda\lambda$ -bounding.

## Remark 7

- The forcing notion  $\mathbb{Q}_{\varphi, \bar{F}}^2$  is reasonably  $\mathbf{A}$ -bounding. (Note: since  $\lambda$  is strongly inaccessible the forcing notions  $\mathbb{Q}_{\varphi, \bar{F}}^2$  and  $\mathbb{Q}_{\varphi, \bar{F}}^3$  are equivalent.)
- The forcing  $\mathbb{P}^*$  (Goldstern–Shelah type) is reasonably  $\mathbf{a}$ -bounding but it is very **not**  $\mathbf{A}$ -bounding! The iterations as in Theorem 5 preserve some sort of ultrafilters on  $\lambda$  while  $\mathbb{P}^*$  destroys them, see [RoSh 890].
- We have also nicely double  $\mathbf{a}$ -bounding forcing and this property is preserved in  $\lambda$ -support iterations. It is “almost” weaker than being reasonably  $\mathbf{a}$ -bounding (well, we need to add a demand that the conditions played by Generic in the subgames are pairwise incompatible).

# Better stuff: the Bs

The A-like bounding properties do not cover forcing notions of the type  $\mathbb{Q}^{\ell, \bar{E}}$  or  $\mathbb{Q}_E^{1, \bar{E}}$  (as those add unbounded  $\lambda$ -reals). We will cover  $\mathbb{Q}^{\ell, \bar{E}}$  in the third part, at the moment let us look at bounding properties weak enough to cover  $\mathbb{Q}_E^{1, \bar{E}}$ .

We will weaken the winning conditions in the game  $\mathfrak{D}_{\bar{\mu}}^{\text{rcA}}(p, \mathbb{Q})$  — instead of

$(*)_{\text{A}}^{\text{rc}}$  there is a condition  $p^* \in \mathbb{Q}$  stronger than  $p$  and such that

$$p^* \Vdash_{\mathbb{Q}} (\forall \alpha < \lambda) (\exists t \in I_\alpha) (q_t^\alpha \in \underline{G}_{\mathbb{Q}})$$

we will demand something like

$(*)_{\text{X}}^{\text{rc}}$  there is a condition  $p^* \in \mathbb{Q}$  stronger than  $p$  and such that

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What are the choices for the filter?

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## Observation 8

*If a forcing notion  $\mathbb{Q}$  is strategically  $(<\lambda)$ -complete and  $D$  is a normal filter on  $\lambda$ , then the normal filter generated by  $D$  in  $\mathbf{V}^{\mathbb{Q}}$  is proper.*

Abusing notation, we may denote the normal filter generated by  $D$  in  $\mathbf{V}^{\mathbb{Q}}$  also by  $D$  or by  $D^{\mathbb{Q}}$ . Thus

if  $\tilde{A}$  is a  $\mathbb{Q}$ -name for a subset of  $\lambda$ , then  $p \Vdash_{\mathbb{Q}} \tilde{A} \in D^{\mathbb{Q}}$  if and only if for some  $\mathbb{Q}$ -names  $\tilde{A}_{\alpha}$  for elements of  $D^{\mathbf{V}}$  we have that  $p \Vdash_{\mathbb{Q}} \bigtriangle_{\alpha < \lambda} \tilde{A}_{\alpha} \subseteq \tilde{A}$  (where  $\bigtriangle$  denotes the operation of diagonal intersection).

From now on, in addition to previous assumptions, we suppose

(g)  $D$  is a normal filter on  $\lambda$ .

(Intention:  $D$  is “orthogonal” to  $E$ .)

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Games  $\vartheta_{D, \bar{\mu}}^{\text{rcB}}(p, \mathbb{Q}), \vartheta_{D, \bar{\mu}}^{\text{rcb}}(p, \mathbb{Q})$  are defined similarly to  $\vartheta_{\bar{\mu}}^{\text{rcA}}(p, \mathbb{Q}), \vartheta_{\bar{\mu}}^{\text{rca}}(p, \mathbb{Q})$ , except that the winning criteria are now

$(*)_{\text{B}}^{\text{rc}}$  there is a condition  $p^* \in \mathbb{Q}$  stronger than  $p$  and such that

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and

$(*)_{\text{b}}^{\text{rc}}$  there is a condition  $p^* \in \mathbb{Q}$  stronger than  $p$  and such that

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respectively.

## Definition 9

A strategically  $(< \lambda)$ -complete forcing notion  $\mathbb{Q}$  is *reasonably  $B$ -bounding* (*-bounding, respectively*) over  $D, \bar{\mu}$  if for any  $p \in \mathbb{Q}$ , Generic has a winning strategy in the game  $\mathfrak{D}_{D, \bar{\mu}}^{\text{rcB}}(p, \mathbb{Q})$  ( $\mathfrak{D}_{D, \bar{\mu}}^{\text{rcb}}(p, \mathbb{Q})$ , respectively).

## Theorem 10 ([RoSh 860, Thm 3.1])

Assume that  $\bar{\mathbb{Q}} = \langle \mathbb{P}_\xi, \mathbb{Q}_\xi : \xi < \gamma \rangle$  is a  $\lambda$ -support iteration such that for every  $\xi < \gamma$ ,

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Unfortunately, while there are examples of forcings which are  $B$ - but not  $\mathbf{a}$ -bounding, this does not help us with  $\mathbb{Q}_E^{1, \bar{E}}$ .

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## Definition 11

A  $\mathcal{D}$ -parameter on  $\lambda$  is a triple  $\mathbf{p} = (\bar{P}, S, D) = (\bar{P}^{\mathbf{p}}, S^{\mathbf{p}}, D^{\mathbf{p}})$  such that

- $D$  is a proper uniform normal filter on  $\lambda$ ,  $S \in D$ ,
- $\bar{P} = \langle P_\delta : \delta \in S \rangle$  and  $P_\delta \in [\delta^\delta]^{<\lambda}$  for each  $\delta \in S$ ,
- for every function  $f \in {}^\lambda \lambda$  we have

$$\text{set}^{\mathbf{p}}(f) \stackrel{\text{def}}{=} \{\delta \in S : f \upharpoonright \delta \in P_\delta\} \in D.$$

Remember that our  $\lambda$  is strongly inaccessible. Let  $D$  is the filter generated by club subsets of  $\lambda$  and  $P_\delta = {}^\delta \delta$ ,  $\bar{P} = \langle P_\delta : \delta < \lambda \rangle$ . Then  $(\bar{P}, \lambda, D)$  is a  $\mathcal{D}$ -parameter on  $\lambda$ .

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## Definition 12

Let  $\mathbf{p}$  be a  $\mathcal{D}\ell$ -parameter on  $\lambda$  and  $\mathbb{Q}$  be a strategically  $(<\lambda)$ -complete forcing notion. In  $\mathbf{V}^{\mathbb{Q}}$  we define

- $D^{\mathbf{p}}[\mathbb{Q}] = D^{\mathbf{p}[\mathbb{Q}]}$  is the normal filter generated by  $D^{\mathbf{p}} \cup \{\text{set}^{\mathbf{p}}(f) : f \in {}^\lambda\lambda\}$ , and
- $\mathbf{p}[\mathbb{Q}] = (\bar{P}^{\mathbf{p}}, S^{\mathbf{p}}, D^{\mathbf{p}}[\mathbb{Q}])$ .

The filter  $D^{\mathbf{p}}[\mathbb{Q}]$  is (potentially) larger than  $D^{\mathbb{Q}}$  (the normal filter generated by  $D$ ), but it is still a proper filter:

## Lemma 13

*Assume that  $\mathbf{p} = (\bar{P}, S, D)$  is a  $\mathcal{D}\ell$ -parameter on  $\lambda$  and  $\mathbb{Q}$  is a strategically  $(<\lambda)$ -complete forcing notion. Then  $\Vdash_{\mathbb{Q}} \emptyset \notin D^{\mathbf{p}}[\mathbb{Q}]$ . Consequently,  $\Vdash_{\mathbb{Q}}$  “ $\mathbf{p}[\mathbb{Q}]$  is a  $\mathcal{D}\ell$ -parameter on  $\lambda$ ”.*

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Let  $\mathbf{p} = (\bar{P}, S, D)$  be a  $\mathcal{D}l$ -parameter on  $\lambda$  and  $\mathbb{Q}$  be a forcing notion. For a condition  $p \in \mathbb{Q}$  we define a game  $\mathfrak{D}_{\mathbf{p}}^{\text{rbB}}(p, \mathbb{Q})$  between two players, Generic and Antigeneric, as follows. A play of  $\mathfrak{D}_{\mathbf{p}}^{\text{rbB}}(p, \mathbb{Q})$  lasts  $\lambda$  steps and during a play a sequence  $\langle I_\alpha, \langle p_t^\alpha, q_t^\alpha : t \in I_\alpha \rangle : \alpha < \lambda \rangle$  is constructed. Suppose that the players have arrived to a stage  $\alpha < \lambda$  of the game. Now,

- ( $\aleph$ ) $_\alpha$  first Generic chooses a set  $I_\alpha$  of cardinality  $< \lambda$  and a system  $\langle p_t^\alpha : t \in I_\alpha \rangle$  of conditions from  $\mathbb{Q}$ ,
- ( $\beth$ ) $_\alpha$  then Antigeneric answers by picking a system  $\langle q_t^\alpha : t \in I_\alpha \rangle$  of conditions from  $\mathbb{Q}$  such that  $(\forall t \in I_\alpha)(p_t^\alpha \leq q_t^\alpha)$ .

At the end, Generic wins the play  $\langle I_\alpha, \langle p_t^\alpha, q_t^\alpha : t \in I_\alpha \rangle : \alpha < \lambda \rangle$  of  $\mathfrak{D}_{\mathbf{p}}^{\text{rbB}}(p, \mathbb{Q})$  if and only if

- ( $\ast$ ) $_{\text{rbB}}^{\mathbf{p}}$  there is a condition  $p^* \in \mathbb{Q}$  stronger than  $p$  and such that

$$p^* \Vdash_{\mathbb{Q}} \text{ “ } \{ \alpha < \lambda : (\exists t \in I_\alpha)(q_t^\alpha \in \Gamma_{\mathbb{Q}}) \} \in D[\mathbb{Q}] \text{ ”.}$$

Let  $\mathbf{p} = (\bar{P}, S, D)$  be a  $\mathcal{D}l$ -parameter on  $\lambda$  and  $\mathbb{Q}$  be a forcing notion. For a condition  $p \in \mathbb{Q}$  we define a game  $\mathfrak{D}_{\mathbf{p}}^{\text{rbB}}(p, \mathbb{Q})$  between two players, Generic and Antigeneric, as follows. A play of  $\mathfrak{D}_{\mathbf{p}}^{\text{rbB}}(p, \mathbb{Q})$  lasts  $\lambda$  steps and during a play a sequence  $\langle I_\alpha, \langle p_t^\alpha, q_t^\alpha : t \in I_\alpha \rangle : \alpha < \lambda \rangle$  is constructed. Suppose that the players have arrived to a stage  $\alpha < \lambda$  of the game. Now,

- ( $\aleph$ ) $_\alpha$  first Generic chooses a set  $I_\alpha$  of cardinality  $< \lambda$  and a system  $\langle p_t^\alpha : t \in I_\alpha \rangle$  of conditions from  $\mathbb{Q}$ ,
- ( $\beth$ ) $_\alpha$  then Antigeneric answers by picking a system  $\langle q_t^\alpha : t \in I_\alpha \rangle$  of conditions from  $\mathbb{Q}$  such that  $(\forall t \in I_\alpha)(p_t^\alpha \leq q_t^\alpha)$ .

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Let  $\mathbf{p} = (\bar{P}, S, D)$  be a  $\mathcal{D}\ell$ -parameter on  $\lambda$  and  $\mathbb{Q}$  be a forcing notion. For a condition  $p \in \mathbb{Q}$  we define a game  $\mathfrak{D}_{\mathbf{p}}^{\text{rbB}}(p, \mathbb{Q})$  between two players, Generic and Antigeneric, as follows. A play of  $\mathfrak{D}_{\mathbf{p}}^{\text{rbB}}(p, \mathbb{Q})$  lasts  $\lambda$  steps and during a play a sequence  $\langle I_\alpha, \langle p_t^\alpha, q_t^\alpha : t \in I_\alpha \rangle : \alpha < \lambda \rangle$  is constructed. Suppose that the players have arrived to a stage  $\alpha < \lambda$  of the game. Now,

- ( $\aleph$ ) $_\alpha$  first Generic chooses a set  $I_\alpha$  of cardinality  $< \lambda$  and a system  $\langle p_t^\alpha : t \in I_\alpha \rangle$  of conditions from  $\mathbb{Q}$ ,
- ( $\beth$ ) $_\alpha$  then Antigeneric answers by picking a system  $\langle q_t^\alpha : t \in I_\alpha \rangle$  of conditions from  $\mathbb{Q}$  such that  $(\forall t \in I_\alpha)(p_t^\alpha \leq q_t^\alpha)$ .

At the end, Generic wins the play  $\langle I_\alpha, \langle p_t^\alpha, q_t^\alpha : t \in I_\alpha \rangle : \alpha < \lambda \rangle$  of  $\mathfrak{D}_{\mathbf{p}}^{\text{rbB}}(p, \mathbb{Q})$  if and only if

- ( $\ast$ ) $_{\text{rbB}}^{\mathbf{p}}$  there is a condition  $p^* \in \mathbb{Q}$  stronger than  $p$  and such that

$$p^* \Vdash_{\mathbb{Q}} \text{ “ } \{ \alpha < \lambda : (\exists t \in I_\alpha)(q_t^\alpha \in \Gamma_{\mathbb{Q}}) \} \in D[\mathbb{Q}] \text{ ”}.$$

## Definition 14

Let  $\mathbb{Q}$  be a strategically  $(<\lambda)$ -complete forcing notion. We say that  $\mathbb{Q}$  is *reasonably  $B$ -bounding over  $\mathfrak{p}$*  if for any  $p \in \mathbb{Q}$ , Generic has a winning strategy in the game  $\mathfrak{D}_{\mathfrak{p}}^{\text{rbB}}(p, \mathbb{Q})$ .

## Theorem 15 ([RoSh 888, Thm 1.10])

Assume that  $\lambda$  is a strongly inaccessible cardinal and  $\mathfrak{p}$  is a  $D\lambda$ -parameter on  $\lambda$ . Let  $\bar{\mathbb{Q}} = \langle \mathbb{P}_\alpha, \mathbb{Q}_\alpha : \alpha < \gamma \rangle$  be a  $\lambda$ -support iteration such that for every  $\alpha < \lambda$ ,

$\Vdash_{\mathbb{P}_\alpha} \text{“} \mathbb{Q}_\alpha \text{ is reasonably } B\text{-bounding over } \mathfrak{p}[\mathbb{P}_\alpha] \text{”}$ .

Then

- (a)  $\mathbb{P}_\gamma = \text{lim}(\bar{\mathbb{Q}})$  is  $\lambda$ -proper,
- (b) if  $\dot{\tau}$  is a  $\mathbb{P}_\gamma$ -name for a function from  $\lambda$  to  $\mathbf{V}$ ,  $p \in \mathbb{P}_\gamma$ , then there are  $q \geq p$  and  $\langle A_\xi : \xi < \lambda \rangle$  such that  $(\forall \xi < \lambda)(|A_\xi| < \lambda)$  and

$$q \Vdash \text{“} \{ \xi < \lambda : \dot{\tau}(\xi) \in A_\xi \} \in D^{\mathfrak{p}}[\mathbb{P}_\gamma] \text{”}.$$

## Proposition 16 ([RoSh 888, Prop. 1.12])

Let  $\mathbf{p} = (\bar{P}, S, D)$  be a  $\mathcal{D}\ell$ -parameter on  $\lambda$  such that  $\lambda \setminus S \in E$ .

- 1  $Q_E^{1, \bar{E}}$  is reasonably  $B$ -bounding over  $\mathbf{p}$ .
- 2 If ( $\lambda$  is strongly inaccessible and)  $(\forall \delta \in S)(|P_\delta| \leq |\delta|)$ , then  $\Vdash_{Q_E^{1, \bar{E}}} D^{Q_E^{1, \bar{E}}} \neq D[Q_E^{1, \bar{E}}]$ .

# Many different $\mathbb{Q}_E^{1,E}$ 's — oh my lords (of iteration)!

## Definition 17

- 1 A forcing notion with  $\lambda$ -complete  $(\kappa, \mu)$ -purity is a triple  $(\mathbb{Q}, \leq, \leq_{\text{pr}})$  such that  $\leq, \leq_{\text{pr}}$  are transitive reflexive (binary) relations on  $\mathbb{Q}$  such that
  - (a)  $\leq_{\text{pr}} \subseteq \leq$ ,
  - (b) both  $(\mathbb{Q}, \leq)$  and  $(\mathbb{Q}, \leq_{\text{pr}})$  are strategically  $(< \lambda)$ -complete,
  - (c) for every  $p \in \mathbb{Q}$  and a  $(\mathbb{Q}, \leq)$ -name  $\dot{\tau}$  for an ordinal below  $\kappa$ , there are a set  $A$  of size less than  $\mu$  and a condition  $q \in \mathbb{Q}$  such that  $p \leq_{\text{pr}} q$  and  $q$  forces (in  $(\mathbb{Q}, \leq)$ ) that " $\dot{\tau} \in A$ ".
- 2 If  $(\mathbb{Q}, \leq, \leq_{\text{pr}})$  is a forcing notion with  $\lambda$ -complete  $(\kappa, \mu)$ -purity for every  $\kappa, \mu$ , then we say that it has  $\lambda$ -complete  $(*, \mu)$ -purity.

Let  $\mathbb{Q} = (\mathbb{Q}, \leq, \leq_{\text{pr}})$  be a forcing notion with  $\lambda$ -complete  $(*, \lambda^+)$ -purity,  $\mathbf{p} = (\bar{P}, S, D)$  be a  $\mathcal{D}\ell$ -parameter on  $\lambda$ ,  $\mathcal{U}$  be a normal filter on  $\lambda$  and  $\bar{\mu} = \langle \mu_\alpha : \alpha < \lambda \rangle$  be a sequence of cardinals below  $\lambda$ .

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  - (a)  $\leq_{\text{pr}} \subseteq \leq$ ,
  - (b) both  $(\mathbb{Q}, \leq)$  and  $(\mathbb{Q}, \leq_{\text{pr}})$  are strategically  $(< \lambda)$ -complete,
  - (c) for every  $p \in \mathbb{Q}$  and a  $(\mathbb{Q}, \leq)$ -name  $\dot{\tau}$  for an ordinal below  $\kappa$ , there are a set  $A$  of size less than  $\mu$  and a condition  $q \in \mathbb{Q}$  such that  $p \leq_{\text{pr}} q$  and  $q$  forces (in  $(\mathbb{Q}, \leq)$ ) that " $\dot{\tau} \in A$ ".
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# A game with purity

For  $p \in \mathbb{Q}$  we define a game  $\mathfrak{D}_{\mathcal{U}, \mathbf{p}, \bar{\mu}}^{\text{pr}}(p, \mathbb{Q})$  between Generic and Antigeneric. A play of  $\mathfrak{D}_{\mathcal{U}, \mathbf{p}, \bar{\mu}}^{\text{pr}}(p, \mathbb{Q})$  lasts  $\lambda$  steps and during the play a sequence  $\langle l_\alpha, \langle p_t^\alpha, q_t^\alpha : t \in \mu_\alpha \rangle : \alpha < \lambda \rangle$  is constructed. At a stage  $\alpha < \lambda$  of the game:

- ( $\aleph$ ) $_{\alpha}^{\text{pr}}$  first Antigeneric picks  $l_\alpha \in \{0, 1\}$ .
- ( $\sqsupset$ ) $_{\alpha}^{\text{pr}}$  After this, Generic chooses a system  $\langle p_t^\alpha : t \in \mu_\alpha \rangle$  of pairwise incompatible conditions from  $\mathbb{Q}$ , and
- ( $\sqsubset$ ) $_{\alpha}^{\text{pr}}$  Antigeneric answers with a system of conditions  $q_t^\alpha \in \mathbb{Q}$  (for  $t \in \mu_\alpha$ ) such that for each  $t \in \mu_\alpha$ :
  - $p_t^\alpha \leq q_t^\alpha$ , and
  - if  $l_\alpha = 1$ , then  $p_t^\alpha \leq_{\text{pr}} q_t^\alpha$ .

At the end, Generic wins the play

$$\langle l_\alpha, \langle p_t^\alpha, q_t^\alpha : t \in \mu_\alpha \rangle : \alpha < \lambda \rangle$$

if and only if either  $\{\alpha < \lambda : l_\alpha = 1\} \notin \mathcal{U}$ , or

- ( $\ast$ ) $_{\text{pr}}^{\text{p}}$  there is a condition  $p^* \in \mathbb{Q}$  stronger than  $p$  and such that

$$p^* \Vdash_{\mathbb{Q}} \text{ “ } \{ \alpha < \lambda : (\exists t \in \mu_\alpha) (q_t^\alpha \in \Gamma_{\mathbb{Q}}) \} \in D[\mathbb{Q}] \text{ ”.}$$

## Definition 18

We say that the forcing notion  $\mathbb{Q}$  (with  $\lambda$ -complete  $(*, \lambda^+)$ -purity) is *purely  $B^*$ -bounding over  $\mathcal{U}, \mathbf{p}, \bar{\mu}$*  if for any  $p \in \mathbb{Q}$ , Generic has a winning strategy in the game  $\mathfrak{D}_{\mathcal{U}, \mathbf{p}, \bar{\mu}}^{\text{pr}}(p, \mathbb{Q})$ .

## Observation 19

- 1 For  $p, q \in \mathbb{Q}_E^{1, \bar{E}}$  let  $p \leq_{\text{pr}} q$  mean that  $p \leq q$  and  $\text{root}(p) = \text{root}(q)$ . Then  $(\mathbb{Q}_E^{1, \bar{E}}, \leq, \leq_{\text{pr}})$  is a forcing notion with  $\lambda$ -complete  $(*, \lambda^+)$ -purity.
- 2 Assume that  $\mathbf{p} = (\bar{P}, S, D)$  is a  $\mathcal{D}\ell$ -parameter on  $\lambda$  and  $\bar{\mu} = \langle \mu_\alpha : \alpha < \lambda \rangle$  is a sequence of non-zero cardinals below  $\lambda$  such that  $(\forall \alpha \in S)(|P_\alpha| \leq \mu_\alpha)$ . Then  $(\mathbb{Q}_E^{1, \bar{E}}, \leq, \leq_{\text{pr}})$  is purely  $B^*$ -bounding over  $E, \mathbf{p}, \bar{\mu}$ .

Note **no** demands on  $E$  being orthogonal to  $D$ !



## Definition 18

We say that the forcing notion  $\mathbb{Q}$  (with  $\lambda$ -complete  $(*, \lambda^+)$ -purity) is *purely  $B^*$ -bounding over  $\mathcal{U}, \mathbf{p}, \bar{\mu}$*  if for any  $p \in \mathbb{Q}$ , Generic has a winning strategy in the game  $\mathfrak{D}_{\mathcal{U}, \mathbf{p}, \bar{\mu}}^{\text{pr}}(p, \mathbb{Q})$ .

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Note **no** demands on  $E$  being orthogonal to  $D$ !

## Theorem 20 ([RoSh 888, Thm 2.7])

Assume that

- 1  $\lambda$  is strongly inaccessible,  $\bar{\mu} = \langle \mu_\alpha : \alpha < \lambda \rangle$  is a sequence of cardinals below  $\lambda$ ,  $\mathbf{p} = (\bar{P}, S, D)$  is a  $\mathcal{D}$ -parameter on  $\lambda$ , and
- 2  $\bar{Q} = \langle \mathbb{P}_\alpha, \mathcal{Q}_\alpha : \alpha < \gamma \rangle$  is a  $\lambda$ -support iteration,
- 3  $\mathcal{U}_\alpha$  is a  $\mathbb{P}_\alpha$ -name for a normal filter on  $\lambda$  (for  $\alpha < \gamma$ ),
- 4  $A_{\alpha,\beta} \subseteq \lambda$  is such that  $\Vdash_{\mathbb{P}_\alpha} A_{\alpha,\beta} \in \mathcal{U}_\alpha$  and  $\Vdash_{\mathbb{P}_\beta} \lambda \setminus A_{\alpha,\beta} \in \mathcal{U}_\beta$  (for  $\alpha < \beta < \gamma$ ), and
- 5 for every  $\alpha < \gamma$ ,

$\Vdash_{\mathbb{P}_\alpha}$  “ $\mathcal{Q}_\alpha$  is purely  $B^*$ -bounding over  $\mathcal{U}_\alpha, \mathbf{p}[\mathbb{P}_\alpha], \bar{\mu}$ ”.

Then  $\mathbb{P}_\gamma = \lim(\bar{Q})$  is  $\lambda$ -proper.

# Thank You!

*Thank you for your attention today.  
I hope you will come to the third part of this series. We will talk  
about two ways to “cover” forcing  $\mathbb{Q}^{2, \bar{E}}$  and others — with and  
without diamonds.*

# References

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